



15866 U.S. PTO  
102903

Practitioner's Docket No. 006383.00005

PATENT

19270 U.S. PTO  
10/697472



Preliminary Classification:

Proposed Class:

Subclass:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

### NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Todd A. Elson

For (title): Roller Assembly for Floating Dock

#### 1. Type of Application

This application is for an original (nonprovisional).

#### 2. Papers Enclosed

A. Required for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application

{350609;}

#### EXPRESS MAILING UNDER 37 C.F.R. § 1.10\*

*(Express Mail label number is mandatory.)*

*(Express Mail certification is optional)*

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date October 29, 2003 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. ER 388893215 US.

MARCI A. SNOW

Type or print name of person mailing paper

Date: 10/29/03

  
Signature of person certifying

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**\*WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

13 Page(s) of Specification  
4 Page(s) of Claims  
10 Sheet(s) of Drawing(s)--Informal

**B. Other Papers Enclosed**

1 Page(s) of declaration and power of attorney  
1 Page(s) of abstract

**3. Additional Papers Enclosed**

Information Disclosure Statement (37 C.F.R. § 1.98)  
Form PTO-1449 (PTO/SB/08A and 08B)

Citations

Nonpublication Request

Application Data Sheet

**4. Declaration or Oath**

Enclosed  
Executed by:  
\* inventor.

**5. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**6. Language**

English

**7. Assignment**

An assignment of the invention to HydroHoist International, Inc. is attached. A separate "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" is also attached.

**8. Fee Calculation (37 C.F.R. § 1.16)**

Regular Application

CLAIMS AS FILED										
						Basic Fee				
						37 C.F.R. § 1.16(a)				
	Number Filed		Number Extra		Rate					
Total										
Claims (37 C.F.R. § 1.16(c))	11	–	20	=	0	x \$ 18.00	= \$ 0.00			
Independent	7	–	3	=	4	x \$ 86.00	= \$ 344.00			

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Claims (37 C.F.R. §  
1.16(b))

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Multiple Dependent Claim(s),  
if any (37 C.F.R. § 1.16(d))

\*\*\*NV 37  
CFR  
\$1;16;d;2\*\*\*

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Filing Fee Calculation \$1,114.00

**9. Assertion of Small Entity Status**

Applicant hereby asserts status as a small entity under 37 C.F.R. § 1.27.

Filing Fee Calculation (50% of above Filing Fee Calculation) \$557.00

**10. Fee Payment Being Made at This Time**

Enclosed

Filing Fee \$557.00

Recording assignment (\$40; 37 C.F.R. §  
1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT  
ACCOMPANYING NEW APPLICATION".) \$40.00

**Total Fees Enclosed** \$597.00

**11. Method of Payment of Fees**

Attached is a check in the amount of \$597.00.

Charge any additional fees required by this paper or credit any overpayment in the manner  
authorized above.

**12. Authorization to Charge Additional Fees**

The Office is hereby authorized to charge, in the manner shown above, the following additional  
fees that may be required by this paper and during the entire pendency of this application.

37 C.F.R. § 1.16(a), (f) or (g) (filing fees)

37 C.F.R. § 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than  
the filing date of the application)

37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))

**13. Instructions as to Overpayment**

Credit Account No. 501971.

Date: 10/29/03



Frank J. Catalano  
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ER 38893215 US 102903

PTO/SB/35 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Todd A. Elson
Title	Roller Assembly for Floating Dock
Attorney Docket Number	006383.00005

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

10/29/03

Date



Signature

(918) 595-4963

Telephone number

Frank J. Catalano

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.